

PHD COURSE

ON

MODEL COMPANIONSHIP RESULTS

FOR

SET THEORY

LECTURE

13

23/6/2022

Cor.  $(H_{w_2}, \epsilon_{\Delta_2})$  is  $\text{Th}(V, \epsilon_{\Delta_2})$ -ec.  $\textcircled{1}$

pf Let  $\mathcal{M}$  be ~~unacc~~ and  $m \in (H_{w_2}, \epsilon_{\Delta_2})$   
 be s.t.  $\text{Th}(m) \neq \text{Th}(H_{w_2}, \epsilon_{\Delta_2})$

We use the as yet unproved fact that

$(H_{w_2}^{VB} / \zeta, \epsilon_{\Delta_2}^{VB} / \zeta) \stackrel{1.}{\succ} (H_{w_2}, \epsilon_{\Delta_2})$  via  $a \mapsto [a]_{\zeta}$

~~This~~ is what we need to be

Let  $\delta > |m|$  and let  $\zeta \in \text{ST}(\text{Coll}(w, < \delta))$   
 be s.t.  $(H_{\delta}^{\text{Coll}(w, < \delta)} / \zeta, \epsilon_{\Delta_2}^B / \zeta)$  is saturated

assume  $M \models \exists x \varphi(x, a_1 \dots a_n)$  with (2)

$a_1 \dots a_n \in H_{\omega_2}$ . Let  $c \in M$  be s.t.  $M \models \varphi(c, a_1 \dots a_n)$

then 
$$p(x) = \text{Th}(V, \epsilon_{\omega_2}) \cup \left\{ \varphi(x, \vec{b}) : \vec{b} \in H_{\omega_2}^{\omega} \right\}$$

$$\varphi \text{ q.b. for } \epsilon_{\omega_2}$$

$$\varphi(c, \vec{a}) \text{ holds in } M$$

is cons and the sentences of this type are realized in  $(H_{\omega_2}^{\omega}, \epsilon_{\omega_2})$ , while the so quantified  $p(x)$  is consistent when each  $b \in H_{\omega_2}$  is assigned to  $[b]_q$  in  $H_{\omega_2}^{\omega}$   $\Rightarrow p(x)$  is realized in  $(H_{\omega_2}^{\omega}, \epsilon_{\omega_2})$



Then Assumers Here are class many (3)

inacc. Then for any  $a \in B$  cba in  $V$   
 and  $\zeta \in St(B)$   $(Hw_{\zeta}, \epsilon_{\zeta}) \leq_2 (Hw_{\zeta}^B, \epsilon_{\zeta}^A)$

Pf: let  $\delta > |B|$  be s.t.  $V_\delta$  reflects

$[\exists x \varphi(x, \check{a}_1, \dots, \check{a}_m)] \Vdash_B \mathcal{O}_B$  with

~~with  $\check{a}_i \in B$~~

find  $\tau$  s.t.  $[\varphi(\tau, \check{a}_1, \dots, \check{a}_m)] \Vdash \mathcal{O}_B$  let  $\delta$  be  
 inacc. s.t.  $\tau \in V_\delta$ , then  $(V_\delta, \epsilon) \models [\varphi(\tau, \check{a}_1, \dots, \check{a}_m)] \Vdash \mathcal{O}_B$

Take  $M \models (V_\delta, \epsilon) \quad |M| = \aleph_0 \quad B, \tau, a_1, \dots, a_m \in M$ .

Let  $\pi_M : M \rightarrow N$  be the transitive  $\textcircled{4}$  collapse.

Note that  $\pi_M(a) = a$  for any  $a \in H_{\omega_1}^M$

$$\pi_M[a] = \{ \pi_M(b) : b \in a \cap M \} = \{ \pi_M(b) : b \in a \} = \pi_M(a)$$

$$\parallel$$

$$\pi_M(a)$$

since

$$\{ b : b \in a \} = a$$

$M \models \exists f : \omega \rightarrow a$  surjection

$$\text{and } \omega \subseteq M \Rightarrow \text{cl}[\omega] \subseteq M$$

$$\xrightarrow{\text{a}} \{ \langle \check{b}, \check{1}_B \rangle : b \in a \}$$

$$N \models [ \check{f}(\pi_M(c), \check{1}_{A_1}, \check{1}_{A_2}, \dots, \check{1}_{A_n}) \uparrow \pi_M(B) ] > 0_{\pi_M(B)}$$

assumption carrier of  $B$  is an Ord and  $1_B = 0$ , ~~check~~

So find  $\zeta$  N-generated  $\mathcal{F}$  on  $Q \cong \Gamma_{\mu}(B)$  (5)

s.t.  $[\rho(\tau, \check{a}_1, \dots, \check{a}_n)]_{\mathcal{F}} \in \zeta$

$$N[\zeta] = \rho(\tau, a_1, \dots, a_n)$$

$N[\zeta]$  is countable and transitive  $\Rightarrow$

$N[\zeta] \in H_{\omega_2}$ ;  $H_{\omega_2}$  is transitive  $\Rightarrow$

$$N[\zeta] \subseteq H_{\omega_2}$$

$$(N[\zeta], \epsilon_{N[\zeta]}) \sqsubseteq (H_{\omega_2}, \epsilon_{H_{\omega_2}}) \Rightarrow (H_{\omega_2}, \epsilon_{H_{\omega_2}}) \neq \rho(\tau, a_1, \dots, a_n)$$

Cor. (Viale, Parente)

(6)

$(H_{\omega_2}, \epsilon_{\Delta_2})$  is  $\text{Th}(V, \epsilon_{\Delta_2})$ -ec.

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Using

Code:  $\mathbb{W}FE_{\omega} \rightarrow H_{\omega_2}$

$R \mapsto a$  if  $a = \pi_R(0)$

sends  $\Sigma_{m+1}^2$ -set in a  $\Sigma_m$ -set

we get flat  $\text{Th}(H_{\omega_2}, \epsilon_{\Delta_2})$  is not  
model complete (there are  $\Sigma_2$ -sets which are  
not definable as  $\Pi_1$ -sets)



# UNIV. BAIRE SETS

(7)

Def: Let  $(X, \tau)$  be a Polish space

(e.g.  $(X, \tau)$  is  $\mathbb{Z}^n$  with product topology)

$A \subseteq X$  is universally Baire if for  
any  $f: Y \rightarrow X$  with  $(Y, \sigma)$  Hausdorff  
compact and  $f$  continuous

$f^{-1}[A]$  has the Baire property in  $Y$

recall  $Z \subseteq Y$  is nowhere dense  
if  $Y \setminus Z$  contains a  
dense open set

$Z$  is meager if it is contained  
in a countable union of nowhere dense sets.



$Z \subseteq Y$  has BP iff  $Z \triangle \text{Reg}(Z) \neq \text{Int}(\text{cl}(Z))$  (8)  
is meager

$$\text{Reg}(Z) = \text{Int}(\text{cl}(Z))$$

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Counterexample to Univ. Baireness

Let  $C \subseteq [0; 1]$  be the Cantor set.

then  $C$  has Lebesgue measure 0.

Now in  $C$  take  $P \subseteq C$  s.t.  $P$  is not Leb. measurable according to Leb. measure in  $C$

note that  $\text{Id}: C \hookrightarrow [0; 1]$  is continuous  
and  $P$  is Leb. meas in  $[0; 1]$

Find

$$C \subset [0, 1]$$

meager given by  $\textcircled{9}$   
~~intersection~~ union of countably  
many meager sets with

$$|C| = 2^{\aleph_0}$$

\* if  $C$  is meager in  $[0, 1]$

Let  $P \subseteq C$  be path. for BP

then  $P$  is meager in  $[0, 1]$  but

~~if~~  $\text{Id}^{-1}[P] = P$  is pathological in  $C$ .

Fact Borel sets are UB  
and for UB-sets are a  $\sigma$ -algebra. (10)

Fact Analytic sets

Then Assume there are class many Woodin,  
then projective sets are UB, actually  
any  $X \subseteq 2^\omega$  s.t.  $X$  is definable  
in  $L(\mathbb{R})$  is UB.

Then Assume LC as above then UB-sets  
are closed under projections.

Thm (Assume LC) UB-sets are determined. (11)

Thm LC The following families  $\mathcal{A}_i$  of sets of reals are contained in UB and are s.t.  $(\mathcal{H}_{\omega_2}, \varepsilon_{\omega_1}, A: A \in \mathcal{A}_i)$  is model complete:

-  $\mathcal{A}_0 = \{X: X \subseteq P(\omega)^{\omega} : X \text{ is definable in } L(\mathcal{O}^{\omega})\}$

-  $\mathcal{A}_1 = \{X: X \text{ is projective}\}$

-  $\mathcal{A}_2 = \{X: X \subseteq P(\omega)^{\omega} \text{ is definable in } L(\mathbb{R})\}$

∴ note that WFE is  $\Pi^1_2$  hence UB



$$(WFE, \text{so } E, \underbrace{(\text{Cod})^{k-2}[A] : A \in A_U}_{A_U^*}) \cong (H_{\omega_2}, \epsilon, A : 1, A \in A_U)$$

$$\text{Cod: } WFE \rightarrow H_{\omega_2} \quad (WFE, \epsilon_{\Delta_2}, A_U^*) \cong (H_{\omega_2}, \epsilon_{\Delta_2}, A_U)$$

$$A \subseteq (2^{\omega})^k$$

$$((\text{Cod})^{k-2})[A] = \{ (R_1 \dots R_k) : \langle \text{Cod}(R_k) \subseteq \dots \subseteq \text{Cod}(R_1) \rangle \}$$

$\uparrow$   
 $A$

WFE

Cor. if  $A \in \{A \subseteq \text{Form}_\epsilon \mid \epsilon \geq 2\}$  is (13)

s.t.  $E_A = \{R_\psi \mid \psi \text{ is } \Pi_2 \text{ property } \in \Delta_2\} \cup$

$\{R_\psi : \exists \vec{x} \in \mathbb{R}^m \text{ ZFC+LC} \models \forall \vec{z} \psi(\vec{x}, \vec{z}) \rightarrow \bigwedge_{i=1}^m (x_i \in \mathbb{Z}^\omega) \wedge$

all quantifiers in  $\psi$  range  
over  $L(\text{Ord}^m)$  (or  $L(\mathbb{R})$ )  
 $H_{\omega_1}, \dots$

$(\text{ZFC+LC} + T_{\epsilon, A})$  has as AMC  
 $\{\psi : \psi \text{ is } \Pi_2 \text{ for } E_A \text{ and } (H_{\omega_1}^m, E_A^m) \models \psi$   
 for any  $m \models \text{ZFC+LC} + T_{\epsilon, A}\}$

Thm.: Assume there are class many Woodin <sup>(17)</sup>  
 and  $G$  is  $V$ -generic for some  $P \in V$

$$\mathcal{M}_G \left( L(\text{Ord}^\omega)^V, \epsilon \right) \equiv \left( L(\text{Ord}^\omega)^{V[G]}, \epsilon \right)$$

~~(17)~~ Thm. Assume there are class many  
 stat. many ~~inacc.~~ <sup>inacc.</sup> cardinals  
 and we work in MK.

Then  $\exists$  there are stat many inacc.  
 $\delta$  s.t.  $\left( H_{\omega_2}^{\text{Coll}(\omega, \delta)} \right) \in \Delta_2, \dots$  are all models  
 of the same  $T$ .  
 and this theorem extends the KM(Th( $V, \epsilon_{\Delta_1}, \dots$ )).