

**Lemma.**  $X, Y$  normed spaces,  $T \in B(X, Y)$ . Then  $\text{Ker } T$  is closed.

**Proof.**  $\text{Ker } T = T^{-1}(\{0\})$

$\{0\}$  is closed and  $T$  is continuous  $\Rightarrow \text{Ker } T$  is closed. ■

**Definition.**  $T \in L(X, Y)$  the **graph** of  $T$  is defined as

$$G(T) = \{(x, Tx) : x \in X\} \subset X \times Y$$

Check as exercise:  $G(T)$  is a subspace of  $X \times Y$

**Lemma.**  $X, Y$  normed spaces,  $T \in B(X, Y)$  then  $G(T)$  is closed.

**Proof.** Consider  $(x_m, Tx_m)_{m \in \mathbb{N}}$  a sequence in  $G(T)$

such that  $(x_m, Tx_m) \rightarrow (x, y) \in X \times Y$

We want to prove that  $(x, y) \in G(T) \Leftrightarrow y = Tx$

Since  $x_m \rightarrow x$  in  $X \Rightarrow Tx_m \rightarrow Tx$  in  $Y$

because  $T$  is continuous and

$$\|(x_m, Tx_m) - (x, Tx)\|_{X \times Y} = \|x_m - x\|_X + \|Tx_m - Tx\|_Y \rightarrow 0$$

as  $m \rightarrow \infty$

hence, by the uniqueness of the limit,  $(x, y) = (x, Tx)$

that is  $Tx = y$ . ■

**Theorem (Density Principle)**

$X$  normed space,  $W \subset X$  subspace and  $W$  is dense in  $X$ .  $Y$  Banach space and  $S \in B(W, Y)$ .

Then there exists and is unique  $T \in B(X, Y)$ :

$$1) \quad T\omega = S\omega, \quad \forall \omega \in W$$

$$2) \quad \|T\|_{B(X,Y)} = \|S\|_{B(W,Y)}.$$

$T$  is called the continuous extension of  $S$  to the bigger space  $X$ .

**Proof.** Given  $S \in B(W, Y)$  we define the extension of  $S$  as follows :  $\forall x \in X$  then by the density of  $W$  in  $X$  there exists a sequence  $\{\omega_m\} \subset W$  such that  $\omega_m \rightarrow x$ .

$$Tx := \lim_{m \rightarrow \infty} S\omega_m.$$

We have to show :

- 1) the limit exists in  $Y$
- 2) " " does not depend on the sequence  $\{\omega_m\}$
- 3)  $T$  is linear
- 4)  $T$  is bounded
- 5)  $T$  extends  $S$  ( $T\omega = S\omega$ ,  $\forall \omega \in W$  and  $\|T\| = \|S\|$ )
- 6)  $T$  is unique.

- 1)  $\{\omega_m\} \subset S$  :  $\omega_m \rightarrow x$  is a Cauchy seq.

$\{S\omega_m\} \subset Y$  is a Cauchy seq. in  $Y$  :

$$\|S\omega_m - S\omega_n\|_Y = \|S(\omega_m - \omega_n)\|_Y \leq \|S\| \frac{\|\omega_m - \omega_n\|_X}{\|S\|_{B(W,Y)}} \leq \epsilon$$

provided that  $n, m \geq N_0 \in \mathbb{N}_+$  big enough since

$\{\omega_m\}$  is a Cauchy seq.

Since  $\{S\omega_m\} \subset Y$  is a Cauchy seq. and  $Y$  is

complete  $\Rightarrow S\omega_m \rightarrow y \in Y$ . We define  $Tx = y$ .

2)  $\{\omega'_m\} \subset W : \underset{\text{lim.}}{\omega'_m} \rightarrow x \text{ in } X \text{ then}$

$$\|S\omega'_m - S\omega_m\|_Y = \|S(\omega'_m - \omega_m)\|_Y \leq \|S\| \|\omega'_m - \omega_m\|_X \rightarrow 0, m \rightarrow \infty$$

$$\|\omega'_m - \omega_m\|_X = \|\omega'_m - x + x - \omega_m\|_X \leq \|\omega'_m - x\|_X + \|x - \omega_m\|_X \rightarrow 0, m \rightarrow \infty$$

Since  $\omega'_m \rightarrow x$  and  $\omega_m \rightarrow x$ .

hence  $\lim_{m \rightarrow \infty} S\omega'_m = \lim_{m \rightarrow \infty} S\omega_m = Tx$

3)  $\forall \alpha, \beta \in F, \forall x_1, x_2 \in X \quad \omega_m^1 \rightarrow x_1, \omega_m^2 \rightarrow x_2$

$\{\omega_m^1\}, \{\omega_m^2\} \subset W$  and  $\{\alpha \omega_m^1 + \beta \omega_m^2\} \subset W$

$$\text{with } \alpha x_1 + \beta x_2 = \lim_{m \rightarrow \infty} (\alpha \omega_m^1 + \beta \omega_m^2)$$

$$T(\alpha x_1 + \beta x_2) = \lim_{m \rightarrow \infty} S(\alpha \omega_m^1 + \beta \omega_m^2)$$

$$= \alpha \lim_{m \rightarrow \infty} S\omega_m^1 + \beta \lim_{m \rightarrow \infty} S\omega_m^2$$

$$= \alpha Tx_1 + \beta Tx_2$$

4)  $\forall x \in X, \exists \{\omega_m\} \subset W : \omega_m \rightarrow x$

$$\|S\omega_m\|_Y \leq \|S\|_{B(W, Y)} \|\omega_m\| \quad (*)$$

$$Tx = \lim_{m \rightarrow \infty} S\omega_m \quad \text{and} \quad \|Tx\|_Y = \lim_{m \rightarrow \infty} \|S\omega_m\|$$

$$\text{recall } \|\omega_m\|_X \rightarrow \|x\|$$

then taking the limit for  $m \rightarrow \infty$  in  $(*)$

$$\|Tx\|_Y \leq \|S\|_{B(W, Y)} \|x\|, \quad \forall x \in X$$

$$\text{In particular, } \|T\|_{B(X, Y)} \leq \|S\|_{B(W, Y)}.$$

5)  $T$  extends  $S$ :

$\forall w \in W$  consider  $w_m = w$ ,  $\forall m \in \mathbb{N}$

then  $w_m \rightarrow w$  and

$$Tw = \lim_{m \rightarrow \infty} S w_m = \lim_{m \rightarrow \infty} S w = Sw, \quad \forall w \in W$$

We know by item 4) that  $\|T\|_{B(x,y)} \leq \|S\|_{B(y,y)}$

Let us show  $\|S\|_{B(w,y)} \leq \|T\|_{B(x,y)}$

$\forall w \in W$   $w_m = w \xrightarrow{\text{bound. for } T} w$   $Tw_m \rightarrow Tw = Sw$

$$\|Sw\|_y = \|Tw\|_y \leq \|T\|_{B(x,y)} \|w\|_x, \quad \forall w \in W$$

hence  $\|S\|_{B(w,y)} \leq \|T\|_{B(x,y)}$ .

6) The uniqueness of  $T$  follows immediately

by the uniqueness of the limit:

$$\forall x \in X, \quad Tx = \lim_{m \rightarrow \infty} S w_m, \quad \{w_m\} \subset S : w_m \rightarrow x$$

In fact, assuming  $\exists T_2 \in B(x,y)$  which extends  $S$

$$T_2 w = Sw, \quad \forall w \in W, \quad \text{then } \forall x \in X,$$

$$x = \lim_{m \rightarrow \infty} w_m, \quad \{w_m\} \subset W, \quad \text{since } T_2 \text{ is}$$

$$\text{bounded} \quad T_2 x = \lim_{m \rightarrow \infty} T_2 w_m = \lim_{m \rightarrow \infty} Sw_m = Tx$$

$$\text{hence } T_2 x = Tx, \quad \forall x \in X. \quad \blacksquare$$

Not every linear operator is bounded.

Example.  $C_{\mathbb{R}}^1([0,1]) = \{f: [0,1] \rightarrow \mathbb{R} : f \text{ is differentiable and } f' \text{ is continuous on } [0,1]\}$

Hence  $C_{\mathbb{R}}^1([0,1]) \subset C_{\mathbb{R}}([0,1])$

$C_{\mathbb{R}}^1([0,1])$  is a subspace of  $C_{\mathbb{R}}([0,1])$

$$(\alpha f + \beta g)' = \alpha f' + \beta g'$$

so  $\alpha f + \beta g \in C_{\mathbb{R}}^1([0,1])$  if  $f, g \in C_{\mathbb{R}}^1([0,1])$

hence  $(C_{\mathbb{R}}^1([0,1]), \| \cdot \|_\infty)$  is a normed space.

Consider  $Tf = f'(0)$ ,  $\forall f \in C_{\mathbb{R}}^1([0,1])$

Then  $T : C_{\mathbb{R}}^1([0,1]) \rightarrow \mathbb{R}$  well defined

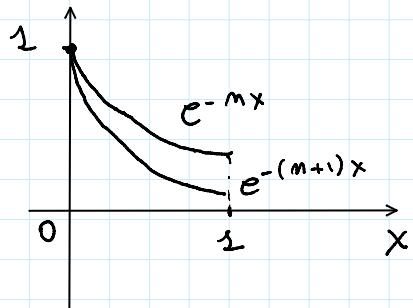
$$\begin{aligned} T \text{ is linear } T(\alpha f + \beta g) &= (\alpha f + \beta g)'(0) = \alpha f'(0) + \beta g'(0) \\ &= \alpha Tf + \beta Tg \end{aligned}$$

$T$  is not bounded. By contradiction, assume

that  $T$  is bounded:  $\exists C > 0$ :

$$(B) \quad |Tf| \leq C \|f\|_\infty, \quad \forall f \in C_{\mathbb{R}}^1([0,1])$$

Consider the sequence  $f_m(x) = e^{-mx}$ ,  $m \in \mathbb{N}_+$



$$f_m \in C_{\mathbb{R}}^1([0,1]), \quad \forall m$$

$$f'_m(x) = -m e^{-mx}$$

$$f'_m(0) = -m$$

the boundedness estimate in (B) becomes:

$$|f'_m(0)| \leq C \|f_m\|_\infty, \quad \forall m$$

$$\|f_m\|_\infty = \max_{x \in [0,1]} e^{-mx} = 1, \quad \forall m, \quad \text{hence (B):}$$

$$m \leq C, \quad \forall m \in \mathbb{N}_+$$

this is a contradiction, hence  $T$  is unbounded.

Let us define on  $C_{\mathbb{R}}^1([0,1])$  the norm:

$$\|f\|_{C^2} := \|f\|_\infty + \|f'\|_\infty$$

check that  $\|\cdot\|_{C^2}$  is a norm on  $C_{\mathbb{R}}^1([0,1])$

Then  $T : (C_R^1([0,1]), \|\cdot\|_{C^1}) \rightarrow \mathbb{R}$

is bounded :

$$|Tf| = |f'(0)| \leq \|f'\|_\infty \leq \|f'\|_\infty + \underbrace{\|f\|_\infty}_{\geq 0} = \|f\|_{C^1}$$

hence  $|Tf| \leq \|f\|_{C^1}$ ,  $\forall f \in C_R^1([0,1])$ .

Def.  $X, Y$  normed spaces,  $T : X \rightarrow Y$  linear.

$T$  is called an **isometry** if

$$\|Tx\|_Y = \|x\|_X, \quad \forall x \in X \quad (\text{I})$$

Remark 1) If  $T$  is an isometry then  $T$  is bounded  
(by the equality in (I)).

$$2) \|T\|_{B(X,Y)} = 1$$

Example On every normed space we have at least  
an isometry. For example take  $Ix = x$ ,  $\forall x \in X$

$$I : X \rightarrow X \quad \text{and} \quad \|Ix\|_X = \|x\|_X.$$

Example.  $X = Y = \ell^2$ ,  $\forall x = (x_m) \in \ell^2$

**Unilateral shift**  $Sx = (0, x_1, x_2, \dots, x_m, \dots)$

then  $S : \ell^2 \rightarrow \ell^2$ ,  $S$  linear,  $S$  isometry.

Solution.  $x = (x_m) \in \ell^2$

$$\begin{aligned} \|Sx\|_{\ell^2}^2 &= \|(0, x_1, x_2, \dots, x_m, \dots)\|_{\ell^2}^2 = 0 + \sum_{m=1}^{\infty} |x_m|^2 \\ &= \|x\|_{\ell^2}^2 < \infty \end{aligned}$$

$$S : \ell^2 \rightarrow \ell^2 \quad \text{and} \quad \|Sx\|_{\ell^2} = \|x\|_{\ell^2}, \quad \forall x \in \ell^2$$

$S$  is linear :  $\forall \alpha, \beta \in \mathbb{F}$ ,  $\forall x = (x_m), y = (y_m) \in \ell^2$

$$S(\alpha x + \beta y) = (0, \alpha x_1 + \beta y_1, \alpha x_2 + \beta y_2, \dots, \alpha x_m + \beta y_m, \dots)$$

$$= \alpha(0, x_1, x_2, \dots, x_m, \dots) + \beta(0, y_1, y_2, \dots, y_m, \dots)$$

$$= \alpha Sx + \beta Sy$$

**Remark.**  $T : X \rightarrow Y$ ,  $T$  isometry then  $T$  is one-to-one  
 $\forall x_1 \neq x_2, x_1, x_2 \in X \quad \|T(x_1 - x_2)\|_Y = \|T(x_1 - x_2)\|_X = \|x_1 - x_2\|_X \neq 0$   
 hence  $Tx_1 \neq Tx_2$ .

If  $T$  is an isometry, in general  $T$  is not onto.

Take for instance the unilateral shift  $S$

$$S\ell^2 = \{ (0, x_1, \dots, x_n, \dots), \quad \forall x = (x_n) \in \ell^2 \}$$

$$\subseteq \ell^2$$

because you can always find  $y = (y_n) \in \ell^2 / y_1 \neq 0$

$$y_m = \frac{1}{m}, \quad m \in \mathbb{N}_+ \quad y_1 = 1 \neq 0 \quad \text{and } (y_n) \in \ell^2$$

since  $\sum_{m=1}^{\infty} \frac{1}{m^2} < \infty$ .

**Remark.** If  $X$  is a finite-dimension vector space, then if  $T : X \rightarrow X$  is an isometry  
 $\Rightarrow T$  is onto.

$$\dim \ker T + \dim \operatorname{Im} T = \underbrace{\dim X}_{=m} \quad (\star\star)$$

since  $T$  is an isometry  $\Rightarrow T$  is one-to-one  
 $\Rightarrow \ker T = \{0\} \quad \dim \ker T = 0$

So by  $(\star\star)$  we get  $\dim \operatorname{Im} T = m$

$$\operatorname{Im} T \subseteq X \quad \dim \operatorname{Im} T = \dim X$$

$$\Rightarrow \operatorname{Im} T = X.$$

So  $T$  is onto.