


Basics in Celestial Mechanics - L1

- Central force fields
- Kepler problem
- N-body problem
- Restricted 3-body problem

Short History

After the Middle-Age ...

- Copernicus N. (Poland 1473-1543): back to heliocentric model
- Brahe T. (Denmark 1546-1601): first astronomical observatory \rightarrow 1st research institute (geocentric model)
- Kepler J. (Germany 1571-1630): study in Tübingen, then work for Brahe \rightarrow observation of planetary motions \rightarrow 3 laws to describe them
- Galilei G. (Italy 1564-1642): Principle of Inertia
"without external forces, a body stays or moves with uniform rectilinear motion".

1st Kepler law  } \rightarrow external forces act on planets
+ Inertia Pr.

- Newton I. (England 1642-1727): rigorous proofs for Kepler's laws

$$\underline{\underline{F}} = - \frac{GMm}{|\underline{x}|^2} \frac{\underline{x}}{|\underline{x}|}$$

$m \underline{\underline{\ddot{x}}}$

M = mass of the Sun

m = " " planet

G = const.

$\underline{x} = \underline{x}(t)$ = planet's position (Sun in $\underline{0}$)

$$(N) \quad \ddot{\underline{x}}(t) = - \frac{GM}{|\underline{x}(t)|^2} \frac{\underline{x}(t)}{|\underline{x}(t)|}$$

acceleration of the planet due to the presence of the sun in O .

in: *Philosophiæ Naturalis Principia Mathematica* (1687)

Newton states the principles of Newtonian Mechanics.

- Beginning 900: Einstein theory of relativity
 - Newtonian mechanics is just an approximation of the reality; it is not reliable when
 - the velocities of the objects are close to the speed of light (special theory of Rel.)
 - we are in space regions with high curvature (for instance, when we are close to a big mass → Es. Mercury motion) (general theory of Rel.)
 - working in atomic or subatomic scales (Quantum Mech.)

We will not take into account relativistic effects and we'll deal with

"big" (w.r. to atoms) and
 "slow" (w.r. to light) masses
 moving in Euclidean spaces.

CENTRAL FORCE FIELDS

$$F(\underline{x}) = f(|\underline{x}|) \frac{\underline{x}}{|\underline{x}|}$$

$F: \mathbb{R}^3 \setminus \{0\} \rightarrow \mathbb{R}^3$ continuous

$f: (0, +\infty) \rightarrow \mathbb{R}$ continuous

(CF)

$$\ddot{\underline{x}}(t) = f(|\underline{x}(t)|) \frac{\underline{x}(t)}{|\underline{x}(t)|}$$



- $f(r) < 0 \rightarrow$ attractive force
- $f(r) > 0 \rightarrow$ repulsive

Prop.

Let $\underline{x}: I \subseteq \mathbb{R} \rightarrow \mathbb{R}^3 \setminus \{0\}$ be a sol. of (CF). Then:

• $\underline{x}(t+c)$ solves (CF), $\forall c \in \mathbb{R}$ **TRANSL. INVAR.**

• $\underline{x}(-t)$ " " **TIME REVERSE**

• $A \underline{x}(t)$ " " **ISOMETRY INVAR.**

$$\forall A \in O(3) = \{A \in M_3(\mathbb{R}) : A^T A = A A^T = Id_3\}$$

EX. (N) is central with $f(r) = -\frac{\mu}{r^2}$

Also $f_\alpha(r) = -\frac{\mu}{r^{2+\alpha}}$ $\alpha > 0$ (generalized kepler)

In this case $\underline{x}(t) = r(\cos(\omega t), \sin(\omega t), 0)$

is a planar sol.: $\ddot{\underline{x}} = -\omega^2 \underline{x}$

$$-\mu \frac{\underline{x}}{r^{2+\alpha}} \iff \omega^2 = \frac{\mu}{r^{2+\alpha}}$$

$$\omega = \sqrt{\mu} r^{-\frac{2+\alpha}{2}}$$

$$\Rightarrow T = \frac{2\pi}{\omega} = \frac{2\pi}{\sqrt{\mu}} r^{\frac{2+\alpha}{2}} \quad (\alpha=1: \text{3rd kepler law for circular motions})$$

A central field is conservative POTENTIAL
↓

i.e. $\exists U: \mathbb{R}^3 \setminus \{0\} \rightarrow \mathbb{R} : F(x) = \nabla U(x)$

and the total energy is conserved

$$\frac{1}{2} \|\dot{x}(t)\|^2 - U(x(t))$$

indeed:

$\forall r_0 > 0, U_{r_0}(x) = \int_{r_0}^{|x|} f(r) dr$ is a potential

- Let U be a potential of a C.F.F. then $U = U(|x|)$.

EX. $f(r) = -\frac{\mu}{r^{1+\alpha}} \Rightarrow U_{r_0}(r) = \frac{\mu}{\alpha} \left(\frac{1}{r^\alpha} - \frac{1}{r_0^\alpha} \right)$

- It is natural to select a special U by imposing a "normalizing condition"

for instance: $\lim_{r \rightarrow \infty} U(r) = 0$

$\Rightarrow U(r) = \frac{\mu}{\alpha r^\alpha}$

$h = \frac{1}{2} \|\dot{x}(t)\|^2 - \frac{\mu}{\alpha |x(t)|^\alpha}$ is conserved along a solution

- Depending on the sign of h , motions will be bounded or not:

$h \geq -\frac{\mu}{\alpha [r(t)]^\alpha}, r(t) = |x(t)|$

• $h \geq 0 \Rightarrow$ no bounds

• $h < 0 \Rightarrow \frac{\mu}{\alpha r^\alpha} \geq -h, r^\alpha \leq C_h$ Hill's region

Conservation of the angular momentum

$$\underline{c}(t) = \underline{x}(t) \wedge \dot{\underline{x}}(t)$$

(Induced by the invariance of a central force field w.r. to the group of rotations)

indeed: $\dot{\underline{c}}(t) = \dot{\underline{x}}(t) \wedge \dot{\underline{x}}(t) + \underline{x}(t) \wedge \ddot{\underline{x}}(t) = 0$

$\parallel \underline{x}(t)$

$\Rightarrow \underline{c}(t) = \underline{c}$ const. vector \Rightarrow planar motion

RK. if $\underline{c} = 0 \Rightarrow \exists \lambda: I \subseteq \mathbb{R} \rightarrow \mathbb{R} :$

$$\dot{\underline{x}}(t) = \lambda(t) \underline{x}(t)$$

and $\frac{\underline{x}(t)}{|\underline{x}(t)|} = \underline{n} \in \mathbb{S}^1$

\Rightarrow the motion is 1-dim.

\Rightarrow we can fix $d=2$

- The law of conservation of the angular momentum was discovered by Kepler (observation of Mars).

Sectorial velocity and Kepler 2nd law

Planar coordinates: let $\begin{cases} \underline{x}: I \subseteq \mathbb{R} \rightarrow \mathbb{R}^2 \setminus \{0\} \\ t \mapsto \underline{x}(t) \end{cases}$ contin.

$$\exists! r(t), \vartheta(t) : \underline{x}(t) = r(t) (\cos \vartheta(t), \sin \vartheta(t))$$

\downarrow
up to $2k\pi$ -translations

otherwise time-reverse

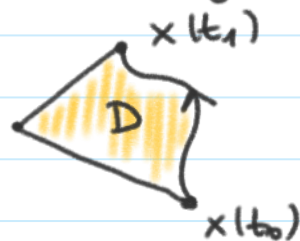
Assume $t \in [t_0, t_1]$ so that $\dot{\vartheta}(t) > 0 \forall t$

$$\cdot \vartheta(t_1) - \vartheta(t_0) < 2\pi$$

$$\text{let: } D = \{s \underline{x}(t) : s \in [0,1], t \in [t_0, t_1]\}$$

Divergence Theorem in polar coord.:

$$\text{Area}(D) = \frac{1}{2} \int_{t_0}^{t_1} r^2(t) \dot{\theta}(t) dt$$



Since in polar coordinates

$$|\underline{\dot{s}}| = r^2(t) \dot{\theta}(t)$$

then

$$\text{Area}(D) = \frac{1}{2} (t_1 - t_0) |\underline{\dot{s}}|$$

2nd Kepler law: in equal time
the radius vector sweeps
out equal areas

The law of conservation of angular momentum lets us to reduce a planar central force problem to a problem with 1 degree of freedom.

$$\text{Indeed: } \begin{cases} \underline{x}(t) = r(t) \underline{e}_r \\ \underline{\dot{x}}(t) = \dot{r}(t) \underline{e}_r + r(t) \dot{\theta}(t) \underline{e}_\theta \\ \underline{\ddot{x}}(t) = (\ddot{r}(t) - r(t) \dot{\theta}^2(t)) \underline{e}_r + (2\dot{r}(t) \dot{\theta}(t) + r(t) \ddot{\theta}(t)) \underline{e}_\theta \end{cases}$$

where: $\underline{e}_r = (\cos \theta(t), \sin \theta(t))$
 $\underline{e}_\theta = (-\sin \theta(t), \cos \theta(t))$

since $\underline{\ddot{x}}(t) = U'(r) \underline{e}_r$
 we compare to obtain: $\begin{cases} \ddot{r}(t) - r(t) \dot{\theta}^2(t) = U'(r) \\ 2\dot{r}(t) \dot{\theta}(t) + r(t) \ddot{\theta}(t) = 0 \end{cases}$

Since $\dot{\theta}(t) = \frac{l \underline{c} l}{r^2(t)} \Rightarrow \ddot{r} = \underbrace{\frac{l \underline{c} l^2}{r^3} + U'(r)}_{V'(r)}$

with $V(r) = U(r) - \frac{l \underline{c} l^2}{2r^2}$ effective potential

Conclusion: $r(t)$ satisfies $\ddot{r}(t) = V'(r(t))$ (r)
with $V(r)$ effective potential.

Fixed $l \underline{c} l \geq 0$, we can solve (r), hence
replace in $\dot{\theta} = \frac{l \underline{c} l}{r^2(t)} (\theta)$

and compute $\theta(t) = \theta_0 + \int_{t_0}^t \frac{l \underline{c} l}{r^2(s)} ds$

RK: The energy of $r(t)$ is:

$(h)_r \quad h_r = \frac{1}{2} \dot{r}^2(t) - V(r)$

Since $\frac{1}{2} |\dot{x}(t)|^2 = \frac{1}{2} \dot{r}^2(t) + \frac{1}{2} r^2(t) \dot{\theta}^2(t)$
 $= \frac{1}{2} \dot{r}^2(t) + \frac{l \underline{c} l^2}{2r^2(t)}$

we have that $h_r = h$ (total energy).

From the energy relation: $\dot{r}(t) = \sqrt{2(h + V(r))}$

Since $\dot{\theta} = \frac{l \underline{c} l}{r^2(t)} \Rightarrow \frac{d\theta}{dr} \dot{r}(t) = \frac{l \underline{c} l}{r^2(t)}$

$\Rightarrow \frac{d\theta}{dr} = \frac{l \underline{c} l / r^2}{\sqrt{2(h + V(r))}}$

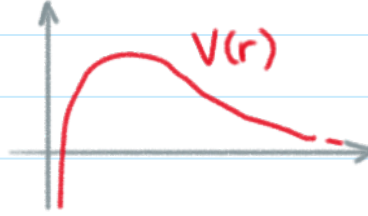
the angle ϑ increases in r

$$\vartheta(r) = \int \frac{|L|/r^2}{\sqrt{2(E+V(r))}} dr$$

equation of the orbit in polar coordinates

EX. If $U(r) = \frac{M}{r}$ (Kepler)

then $V(r) = \frac{M}{r} - \frac{|L|^2}{2r^2}$

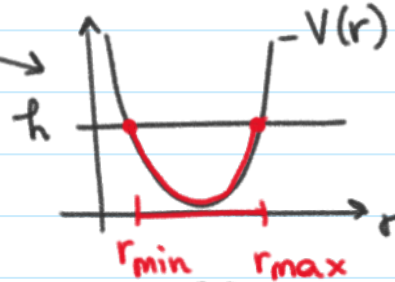


Bounded and closed orbits in a central field

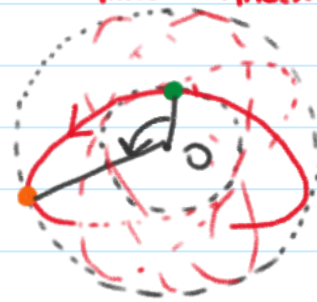
The energy relation possibly imposes a bound on the radial variable:

$$h = \frac{1}{2} \dot{r}^2(t) - V(r) \geq -V(r)$$

if this is the case the motion takes place in annular regions of the form



$r_{\min} \leq r \leq r_{\max}$
 ↑ pericentral points ↑ apocentral points



and $\vartheta = \int_{r_{\min}}^{r_{\max}} \frac{|L|/r^2}{\sqrt{2(E-V(r))}} dr$ is the angle spanned (monotonic) between a pericenter and an apocenter.

ORBITS are CLOSED (periodic)

iff $\vartheta = \frac{m}{n} \cdot 2\pi$ ($m, n \in \mathbb{N}^*$)

(if not the orbit is everywhere dense in the annulus)

Bertrand's Theorem. There are only two cases in which all bounded orbits in a central field are closed:

$$U(r) = \frac{k}{r} \quad \text{and} \quad U(r) = -kr^2$$

Kepler r harmonic oscillator

[For a proof see Arnold pag. 37-38]

Rectilinear motions in central force field

$$\varepsilon = 0 \Rightarrow \underline{x}(t) = r(t) \underline{n}, \quad \underline{n} \in \mathbb{S}^1$$

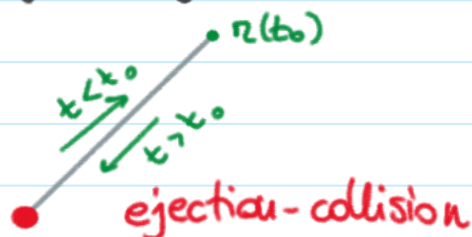
replacing $\underline{x}(t) = r(t) \underline{n}$ in $\ddot{\underline{x}}(t) = f(|\underline{x}(t)|) \frac{\underline{x}(t)}{|\underline{x}(t)|}$ we obtain

$$\ddot{r}(t) = f(r(t)) \quad (2)$$

Prop. Let $\underline{x}(t)$ be a 1-dim. sol. of a central force field eq. ($\varepsilon = 0$) on (α, ω) (maximal interval).

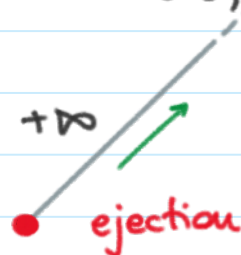
If $f(r) < 0, \forall r$ then one of the following situations occur:

- (i) α, ω both bounded
and $\lim_{\substack{t \rightarrow \alpha^+ \\ t \rightarrow \omega^-}} r(t) = 0$




$$\exists t_0 \in (\alpha, \omega): \begin{aligned} \dot{r}(t) &> 0 \text{ on } (\alpha, t_0) \\ \dot{r}(t_0) &= 0 \\ \dot{r}(t) &< 0 \text{ on } (t_0, \omega) \end{aligned}$$

- (ii) α bounded, $\omega = +\infty$
and $\lim_{t \rightarrow \alpha^+} r(t) = 0, \lim_{t \rightarrow +\infty} r(t) = +\infty$
 $\dot{r}(t) > 0, \forall t \in (\alpha, +\infty)$



(iii) $\alpha = -\infty$, ω bounded

and $\lim_{t \rightarrow -\infty} r(t) = +\infty$, $\lim_{t \rightarrow \omega^-} r(t) = 0$

$\ddot{r}(t) < 0$, $\forall t \in (-\infty, \omega)$ 

PROOF. Since r is $\mathcal{C}^2(\alpha, \omega)$, then $\ddot{r}(t)$

(A) has constant sign on (α, ω) ;

(B) admits to: $\ddot{r}(t_0)$

We prove that if (B) holds, then situation (i) occur; while (A) splits into cases (ii) and (iii).

(i) Assume (B), $\exists t_0 \in (\alpha, \omega) : \ddot{r}(t_0) = 0$.

Consider $[t_0, \omega)$; we claim $\omega < +\infty$.

Since $f(r) < 0 \Rightarrow \ddot{r}(t) < 0 \Rightarrow \dot{r}(t) \downarrow$

$\Rightarrow \dot{r}(t) < 0 \forall t > t_0$

Let $\delta > 0 : t_0 + \delta < \omega$ and $k := \dot{r}(t_0 + \delta)$
(< 0)

then: $r(t) = r(t_0 + \delta) + \int_{t_0 + \delta}^t \dot{r}(s) ds$

$< \underbrace{r(t_0 + \delta) + k[t - (t_0 + \delta)]}$

$\lim_{t \rightarrow \omega^-} r(t) = \lim_{t \rightarrow \omega^-} \underbrace{r(t_0 + \delta) + k[t - (t_0 + \delta)]}_{\Rightarrow \omega < +\infty}$
(otherwise $r(t) \rightarrow -\infty$)

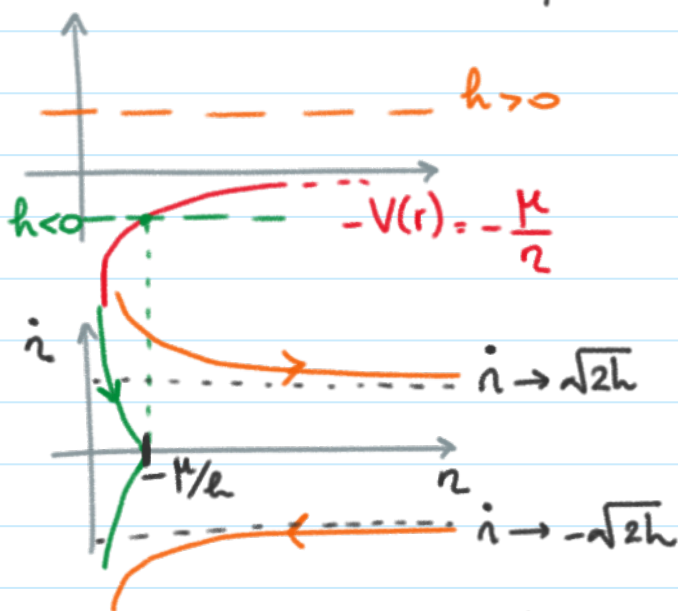
We now prove that: $\lim_{t \rightarrow \omega^-} r(t) = 0$.

The limit exists since $r(t) \downarrow$. If it is $l > 0$ then $\lim_{t \rightarrow \omega^-} f(r(t)) = f(l)$ and $[t_0, \omega)$ is not maximal.

We conclude case (i) arguing similarly for $\alpha > -\infty$.

$h > 0$: the condition is always satisfied

$h < 0$: it corresponds to $r \leq -\frac{\mu}{h}$



from the energy relation:

$$\dot{r}(t) = \pm \sqrt{\frac{2\mu}{r(t)} + 2h}$$

(for any h , two branches)

Rk. $h < 0$ corresponds to (i)
 $h > 0$ " " to (ii) (i > 0) or (iii) (i < 0)

Rk. When $h < 0$, $r \leq -\frac{\mu}{h}$ (with = when $i = 0$)

The time to go from $r = 0$ to $r = -\frac{\mu}{h}$ is:

$$\dot{r}(t) = \frac{dr}{dt} = \sqrt{\frac{2\mu}{r(t)} + 2h}, \quad \int_{\alpha}^{\text{to}} dt = \int_0^{-\mu/h} \frac{dr}{\sqrt{\frac{2\mu}{r} + 2h}}$$

this integral is finite but it is an **elliptic integral**

Rk. If $h = 0$: $t - \alpha = \int_0^{r(t)} \frac{1}{\sqrt{2\mu}} s^{1/2} ds$

$$= \frac{1}{\sqrt{2\mu}} \frac{2}{3} r^{3/2} \Rightarrow r(t) = C_1 (t - \alpha)^{2/3}$$