

A \subseteq -basis for non-Baire class one functions

To obtain a 6-element basis for non-Baire class one functions, we will use the space $\mathbb{N}_*^{\mathbb{N}}$ (defined in Lecture 4) considered as a union: $\mathbb{N}^{\mathbb{N}} \cup (\mathbb{N}_*^{\mathbb{N}} \setminus \mathbb{N}^{\mathbb{N}})$. We are going to prove a basis result for each of those two pieces, and combine them.

We first need a simple and combinatorial way to define top-emb. from $\mathbb{N}_*^{\mathbb{N}}$ to itself.

Meet-embeddings on $\mathbb{N}^{<\mathbb{N}}$ vs endomorphisms of $\mathbb{N}_*^{\mathbb{N}}$.

The meet of seq. $s, t \in \mathbb{N}^{<\mathbb{N}}$ is the seq. $r = s \wedge t$ of max. length $|s \wedge t| \leq \min(|s|, |t|)$ such that $r \sqsubseteq s$ and $r \sqsubseteq t$.

A meet-emb. is an inj. $\pi: \mathbb{N}^{<\mathbb{N}} \rightarrow \mathbb{N}^{<\mathbb{N}}$ st $\pi(s \wedge t) = \pi(s) \wedge \pi(t)$.

Prop 1: $\pi: \mathbb{N}^{<\mathbb{N}} \rightarrow \mathbb{N}^{<\mathbb{N}}$ is a \wedge -emb. iff:

$$\textcircled{1} \quad \forall i \in \mathbb{N} \quad \forall f \in \mathbb{N}^{<\mathbb{N}} \quad \pi(f) \sqsubseteq \pi(f \wedge (\cdot : i))$$

$$\textcircled{2} \quad \forall i, j \in \mathbb{N} \quad \forall f \in \mathbb{N}^{<\mathbb{N}} \quad (i \neq j \Rightarrow \\ \pi(f \wedge (i :))(\text{rg}(\pi(f))) \neq \pi(f \wedge (j :))(\text{rg}(\pi(f))))$$

Pf: Supp. π is \wedge -emb.

① $\pi(f) = \pi(f) \wedge \pi(f^\sim(i)) \Rightarrow \pi(f) \not\sqsubseteq \pi(f^\sim(i))$.

② $\pi(f) = \pi(f^\sim(i)) \wedge \pi(f^\sim(j))$ and $\pi(f^\sim(i)) \neq \pi(f^\sim(j))$.

Supp. ① and ②.

Take $s \neq f$. Set $r = s \wedge t$. wlog $|r| < |s| \Rightarrow \pi(r) \not\sqsubseteq \pi(s)$

so either $r = t$ or $|r| < |t|$ and ② gives $\pi(s)(|\pi(r)|) \neq \pi(f)(|\pi(r)|)$

In both cases we have $\pi(s) \neq \pi(f)$ and $\pi(r) = \pi(s) \wedge \pi(f)$. \square

Cor2: If $\pi: \mathbb{N}^{<\mathbb{N}} \rightarrow \mathbb{N}^{<\mathbb{N}}$ satisfies $\pi(f)^\sim(i) \sqsubseteq \pi(f^\sim(i))$
then it is a \wedge -embedding.

This corollary gives a very easy way of building recursively a \wedge -emb.
whose image is contained in a set that is dense below some $s \in \mathbb{N}^{<\mathbb{N}}$.

Cor3: Any \wedge -embedd. π induces a top. emb. $\bar{\pi}: \mathbb{N}_*^{\mathbb{N}} \rightarrow \mathbb{N}_*^{\mathbb{N}}$

Pf: We apply the hypergraph characterisation of continuity seen
in Lecture 4, observing that if $(s_n(\infty)) \rightarrow x$ in $\mathbb{N}^{\mathbb{N}}$ then
by ① $\pi(s_n(\infty))$ converges in $\mathbb{N}^{\mathbb{N}}$ and $s_n(\infty) \rightarrow s(\infty)$ in $\mathbb{N}_*^{\mathbb{N}} \setminus \mathbb{N}^{\mathbb{N}}$

then $\pi(s_n)^\frown (\infty)$ converges to $\pi(s)^\frown (\infty)$ using (2).

So $s^\frown (\infty) \mapsto \pi(s)^\frown (\infty)$ is cont. and extends to a cont (and inj) map $\bar{\pi}: N_*^N \rightarrow N_*^N$ \square

Meet-emb. admit a form of diagonal composition:

Given π_0, \dots, π_n , denote $o_{i \leq n} \pi_i = \pi_0 \circ \dots \circ \pi_n$.

Prop 4 (diagonal composition): Suppose that $(\pi_t)_{t \in N^{< N}}$ is a sequence of λ -embeddings w/ the property that for all $t \in N^{< N}$ $\pi_t(N^{< N}) \subseteq N_t$.
Then the map $\pi: t \mapsto o_{i \leq |t|} \pi_{t(i)}$ is a λ -emb.

Pf: Note that for all $i \in \mathbb{N}$ and $t \in N^{< N}$ $t \cap (i) \subseteq \pi_{t \cap (i)}(t \cap (i))$, so by prop. 1, composing by $(o_{i \leq |t|} \pi_{t(i)})$ we get $\pi(t) \subseteq (o_{i \leq |t|} \pi_{t(i)}) (t \cap (i)) \subseteq \pi(t \cap (i))$.

Similarly, $i \neq j \Rightarrow (o_{i \leq |t|} \pi_{t(i)}) (t \cap (i)) (|\pi(t)|) \neq (o_{i \leq |t|} \pi_{t(i)}) (t \cap (j)) (|\pi(t)|)$

which in turn gives $\pi(t \cap (i)) (|\pi(t)|) \neq \pi(t \cap (j)) (|\pi(t)|)$.

So by Prop 1 we are done. \square .

Meet-embeddings enjoy a form of Ramsey property.

Prop 4 If $T \subseteq \mathbb{N}^{<\mathbb{N}}$. Then there is a Δ -emb $\pi: \mathbb{N}^{<\mathbb{N}} \rightarrow \mathbb{N}^{<\mathbb{N}}$ s.t. $\pi(\mathbb{N}^{<\mathbb{N}}) \subseteq T$ or $\pi(\mathbb{N}^{<\mathbb{N}}) \cap T = \emptyset$.

Pf: Fix $S \in \{T, \mathbb{N}^{<\mathbb{N}} \setminus T\}$ and $s \in \mathbb{N}^{<\mathbb{N}}$ s.t. S is dense below s . Then recursively build $\pi: \mathbb{N}^{<\mathbb{N}} \rightarrow N_s \cap S$ s.t. $\pi(f)^\sim(i) \subseteq \pi(f^\sim(i))$ for all $f \in \mathbb{N}^{<\mathbb{N}}$ and $i \in \mathbb{N}$. \square

Prop 5: $C \subseteq \mathbb{N}^{\mathbb{N}}$ non-meager w/ the Baire property.
There is a Δ -emb. π w/ $\pi(\mathbb{N}^{\mathbb{N}}) \subseteq C$.

Pf. fix $s \in \mathbb{N}^{<\mathbb{N}}$ s.t. C comeag. in $N_s \cap \mathbb{N}^{\mathbb{N}}$, and dense open U_n in $N_s \cap \mathbb{N}^{\mathbb{N}}$ w/ $\bigcap U_n \subseteq C$. Set $T_n = \{f \in \mathbb{N}^{<\mathbb{N}} \mid N_f \cap \mathbb{N}^{\mathbb{N}} \subseteq U_n\}$ for all $n \in \mathbb{N}$, and build recursively a map $\pi: \mathbb{N}^{<\mathbb{N}} \rightarrow N_s \cap \mathbb{N}^{<\mathbb{N}}$ s.t. $\pi(\mathbb{N}^{\mathbb{N}}) \subseteq T_n$, and $\pi(f)^\sim(i) \subseteq \pi(f^\sim(i))$. \square

A basis for Baire-meas. fact on $\mathbb{N}^{\mathbb{N}}$, but w/ Δ -emb.

Prop 6. X metric, $\phi: \mathbb{N}^{\mathbb{N}} \rightarrow X$ continuous and nowhere constant.

Then there is a λ -emb. π s.t.:

$$\forall i \in \mathbb{N} \quad \forall t \in \mathbb{N}^{<\mathbb{N}} \quad \overline{\phi(N_{\pi(t(i))})} \cap \overline{\bigcup_{j \neq i} \phi(N_{\pi(t(j))})} = \emptyset.$$

Pf Note that each $\phi(N_t)$ is infinite.

Claim: For all $t \in \mathbb{N}^{<\mathbb{N}}$, there is a function $\iota_t : \mathbb{N} \rightarrow \mathbb{N}^{<\mathbb{N}} \setminus \{\emptyset\}$ s.t. $(\iota_t(i)(0))_{i \in \mathbb{N}}$ is 1-1 and the closures of $\phi(N_{t \circ \iota_t(i)})$ and $\bigcup_{j \neq i} \phi(N_{t \circ \iota_t(j)})$ are disjoint $\forall i \in \mathbb{N}$.

Subpf: fix first $b_i \in t^{-1}(i)$ s.t. $b_i \notin \{b_j \mid j < i\}$. This makes one of inj. Fix then $(a_i)_{\mathbb{I}} \subseteq (b_i)_{\mathbb{N}}$ infinite s.t. $\{\phi(a_i) \mid i \in \mathbb{I}\}$ is discrete.

For all $i \in \mathbb{N}$ pick $\varepsilon_i > 0$ s.t. $\phi(a_j) \notin B_x(\phi(a_i), \varepsilon_i)$ if $j \neq i$ and $\iota_t(i) \in t^{-1}\iota_t(i) \subseteq a_i$ and

$$\phi(N_{t \circ \iota_t(i)}) \subseteq B_x(\phi(a_i), \varepsilon_i/3)$$

They are as desired, otherwise take $i \in \mathbb{N}, j \neq i, x \in \phi(N_{t \circ \iota_t(i)})$, $y \in \phi(N_{t \circ \iota_t(j)})$ and $d(x, y) \leq \varepsilon_i/3$, and get

$$\begin{aligned} d_x(\phi(a_i), \phi(a_j)) &\leq d_x(\phi(a_i), x) + d_x(x, y) + d_x(y, \phi(a_j)) \\ &\leq \varepsilon_i/3 + \varepsilon_i/3 + \varepsilon_j/3 \\ &< \dots \end{aligned}$$

contradiction \square

= max $\lceil c_1 \rceil, \lceil c_2 \rceil, \dots$ a construction.

Finally define $\pi: \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$ setting $\pi(\phi) = \phi$ and
 $\pi(f - \iota(i)) = \pi(f) - \iota_{\pi(f)}(i)$ $\forall i \in \mathbb{N}$ $f \in \mathbb{N}^{\mathbb{N}}$. \square

Thm (Caenoy-Miller) X separable metric, ϕ Baire-meas.
 Then there is a 1-emb $\pi: \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$ st
 $\phi \circ \pi$ is either constant or a top. embedding.

Pf. Take C comeager st $\phi \cap C$ is continuous, and
 π_0 w/ $\pi_0(\mathbb{N}^{\mathbb{N}}) \subseteq C$ by Prop 5. If there is
 $s \in \mathbb{N}^{\mathbb{N}}$ st $\phi \cap C \cap N_s$ constant then pick π st
 $\pi(\mathbb{N}^{\mathbb{N}}) \subseteq C \cap N_s$. Otherwise, use Prop 6
 on $\phi \circ \pi_0$ to get π . \square

Functions on $\mathbb{N}^{\mathbb{N}} \setminus \mathbb{N}^{\mathbb{N}}$

This space is countable and dense in itself. Our goal is
 to show that any function into a metric space can be precon-
 posed to get either a constant, an injection in a discrete space, or
 a top. emb.

We fix X a metric space. A set is Σ -discrete if all distinct points have distance $\geq \Sigma$. In this section ϕ is always a map from $\mathbb{N}_*^{\mathbb{N}} \setminus \mathbb{N}^{\mathbb{N}}$ to X . We also fix an enumeration $(t_n)_n$ of $\mathbb{N}^{\mathbb{N}}$ st $t_m \sqsubset t_n \Rightarrow m < n$ for all $m, n \in \mathbb{N}$.

Prop 7. Let $\varepsilon > 0$ and $t \in \mathbb{N}^{\mathbb{N}}$. There is a 1-emb $\pi: \mathbb{N}^{\mathbb{N}} \rightarrow N_t \cap \mathbb{N}^{\mathbb{N}}$ st $\phi \circ \bar{\pi}$ is an inj. into an ε -discrete set, or $\phi \circ \bar{\pi}(\mathbb{N}_*^{\mathbb{N}} \setminus \mathbb{N}^{\mathbb{N}}) \subseteq B(x, \varepsilon)$ for some $x \in \phi(N_t)$

Pf If there is $u \sqsupset t$ and f finite w/ $\phi(N_u) \subseteq B(f, \varepsilon)$, then there is $x \in \phi(\mathbb{N}_*^{\mathbb{N}} \setminus \mathbb{N})$ st $\{s \in \mathbb{N}^{\mathbb{N}} \mid \phi(s \cap \omega) \in B(x, \varepsilon)\}$ is dense below some $w \supset u$, then use a recursive construction.

Otherwise, recursively build $\pi: \mathbb{N}^{\mathbb{N}} \rightarrow N_{t_n} \cap \mathbb{N}^{\mathbb{N}}$ st $\phi(\pi(t_n) \cap (\omega)) \notin B(\{\phi(t_m) \cap (\omega) \mid m < n\}, \varepsilon)$ and $\pi(t'_n) \cap (\omega) \sqsubseteq \pi(t_n)$ for all $n > 0$, where t'_n is the predecessor of t_n . \square

Cor 8: There is a 1-emb. π st $\phi \circ \bar{\pi}$ is constant or injective.

Pf. Apply Prop 7 w/ an Σ -discrete metric on X \square

Prop 9. There is a n -emb. π s.t. $\phi \circ \bar{\pi}$ is an injection into an ε -discrete set for some $\varepsilon > 0$ or $\text{diam}(\phi \circ \bar{\pi})(N_\varepsilon) \rightarrow 0$.

Pf. Suppose that for no $\varepsilon > 0$ there is a n -emb π s.t. $\phi \circ \bar{\pi}$ is an inj. into an ε -discrete set. fix $(\varepsilon_t)_{t \in N^{\leq n}}$ seq. of positive reals s.t. $\varepsilon_t \rightarrow 0$, and recursively apply Prop 7 to the functions $\phi_t = \phi \circ (\cap_{n \leq |t|} \bar{\pi}_{t \cap n})$ to obtain n -emb. $\pi_t : N^{\leq n} \rightarrow N_t \cap N^{\leq n}$ s.t. $(\phi_t \circ (\cap_{n \leq |t|} \bar{\pi}_{t \cap n})) (N^N \setminus N^N)$ is contained in an ε_t -ball for all $t \in N^{\leq n}$.
 The diagonal composition π is the desired n -emb. \square

THE FIFTH LECTURE STOPPED HERE
 THE REMAINDER WILL BE COVERED NEXT TIME

Prop 10. There is a n -emb. π s.t. for all $t \in N^{\leq n}$ $((\phi \circ \bar{\pi})(t \cap (i, \infty)))_{i \in N}$ is convergent, or $\{\phi \circ \bar{\pi}(t \cap (i, \infty)) \mid i \in N\}$ is closed and discrete.

Pf. For $t \in N^{\leq n}$ let b_i be the inf. of $\phi \circ \bar{\pi}(t \cap (i, \infty))$ on the boundary.

$\phi_0 \equiv (\phi - (c_t(\cdot), \infty))$ satisfies the required prop., and set $\pi(\phi) = \phi$ and $\pi(t \sim (i)) = \pi(t) \sim_{\pi(t)} (i)$. \square

Prop 11. $F \subseteq X$ finite, $f \in N^{<\omega}$. There is a n -emb π ranging in N_F s.t either $((\phi \circ \bar{\pi})(u \sim (\infty)))_{u \in N^{<\omega}}$ converges to an element of F or the closure of $(\phi \circ \bar{\pi})(N_*^N \setminus N^N) \cap F = \emptyset$.

Pf: If $S_\varepsilon = \{s \in N^{<\omega} \mid \phi(s \sim (\infty)) \in B(F, \varepsilon)\}$ is dense below $t + \varepsilon > 0$, then there is $u \geq t$ and $\alpha \in F$ s.t $S_{\varepsilon, \alpha}$ is dense below u for all $\varepsilon > 0$. Fix $(\Sigma_v)_{v \in N^{<\omega}} \rightarrow 0$, $\Sigma_v > 0$, and recursively build $\pi: N^{<\omega} \rightarrow N_u \cap N^{<\omega}$ w/ $\pi(v) \in S_{\varepsilon_v, \alpha}$ for all $v \in N^{<\omega}$, and $\pi(v) \sim (i) \subseteq \pi(v \sim (i))$. Observe that $\phi \circ \bar{\pi}(v \sim (\infty)) \rightarrow \alpha$. Otherwise, fix $\varepsilon > 0$ and $u \geq t$ w/ $N_u \cap S_\varepsilon = \emptyset$ and set $\pi(v) = v \sim v$. $(\phi \circ \bar{\pi})(N_*^N \setminus N^N) \cap F = \emptyset$. \square

Prop 12. There is a n -emb π w/ $(\phi \circ \bar{\pi}(t \sim (\infty)))_{t \in N^{<\omega}}$ cgts on $H_m^{<\omega}$ $(\phi \circ \bar{\pi})(t_m \sim (\infty)) \notin \overline{(\phi \circ \bar{\pi})(N_{\epsilon_n})}$.

Pf Sp that for no n -emb. is $((\phi \circ \bar{\pi})(t \sim (\infty)))_{t \in N^{<\omega}}$ cgts. Recursively apply Prop 11 to the functions

$\phi_t = \phi \circ (\cup_{h \leq t+1} \overline{\pi_{t+h}})$ to obtain $\pi_t: N^{<\infty} \rightarrow N^{<\infty}, N_t$
 st $\forall m < n \quad \phi \circ (\cup_{h \leq t+m} \overline{\pi_{t+m+h}})(t_m \cap (\infty))$
 $\not\subseteq \overline{\phi \circ (\cup_{h \leq t+n} \overline{\pi_{t+n+h}})}(N_{t_n}).$
 - here $t = t_n$ -

The diagonal composition of the π_t is the desired
n-emb. \square

Thm $(\text{Basis for } N_*^N \setminus N^N) \times \text{metric}, f: N_*^N \setminus N^N \rightarrow X$
 There exists a n-embedding $\pi: N^{<\infty} \rightarrow N^{<\infty}$
 st $\phi \circ \pi$ is either constant, or an injection in a discrete
set, or a topological embedding.

Pf: We repeatedly precompose ϕ using the previous propositions. Each time we replace ϕ by its precomp. for no-hairiness simplicity.

By Cor. 8 we can suppose that ϕ is injective.

By Prop 9 we can suppose that $\text{diam}(\phi(N_t))_t \rightarrow 0$

By Prop 12, if $(\phi(t \cap (\infty)))_t$ converges to x , by injectivity there is a n-emb. st $\phi \circ \pi$ avoids x , so it is an injection in a discrete set.

So we can suppose that

$$\forall m < n \quad \phi(t_m - (\infty)) \notin \phi(N_{t_n}).$$

So if $y_n \rightarrow y$ in $\text{im } \phi$, it means that there is a seq. $(h_n)_{n \in \mathbb{N}}$ in $\mathbb{N}^\mathbb{N}$ st $\phi(t_{h_n} - (\infty)) \rightarrow \phi(t_n - (\infty))$ for some $t_n \in \mathbb{N}$. So for no $i \in \mathbb{N}$ is there a subsequence of $(h_n)_N$ contained in $N_{t_i - (\cdot)}$, in other words

$$t_{h_n} - (\infty) \rightarrow t_n - (\infty).$$

So finally apply Prop 10, to get in the first case a topological embedding, and in the second an injection in a discrete set. \square

Let $F: \mathbb{N}_*^\mathbb{N} \setminus \mathbb{N}^\mathbb{N} \rightarrow \mathbb{N}$ be an injection.

Cor: $\{c_{\mathbb{N}_*^\mathbb{N} \setminus \mathbb{N}^\mathbb{N}}, f, \text{id}_{\mathbb{N}_*^\mathbb{N} \setminus \mathbb{N}^\mathbb{N}}\}$ is a Σ -basis for
[all functions on $\mathbb{N}_*^\mathbb{N} \setminus \mathbb{N}^\mathbb{N}$.]

A basis for non-Baire class one function.

A function is Baire class one if it is Σ_2^0 -measurable.

Given X, Y spaces, denote $X \oplus Y$ the space $\{0\} \times X \cup 1 \times \{Y\}$ considered as a subspace of $2^{\times}(X \cup Y)$.

Given two functions $f_0: X_0 \rightarrow Y_0$ and $f_1: X_1 \rightarrow Y_1$ w/ $X_0 \cap X_1 = \emptyset$,
 the disjoint union is $f_0 \cup f_1: X_0 \cup X_1 \rightarrow Y_0 \oplus Y_1$
 $x \in X_i \mapsto (i, f_i(x))$.

Then (Canoy-Miller) Assume that X and Y are analytic metric spaces, and $f: X \rightarrow Y$ is Borel.

Then there is a function ϕ_0 in $\{\text{can}_n, \text{id}_{n \times n}\}$ and ϕ_1 in $\{\text{can}_{n \times n}, F, \text{id}_{n \times n \times n}\}$ such that $\phi_0 \cup \phi_1$ embeds in f .

Pf: There exists $U \subseteq Y$ open s.t $f^{-1}(U)$ is not Σ_1^0 .
 Use Hurewicz dichotomy to get a continuous reduction $t: \mathbb{N}^\mathbb{N} \rightarrow X$ from $\mathbb{N}^\mathbb{N}$ to $f^{-1}(U)$,
 and Prop 5 to find a 1-emb. π_0 s.t $t \circ \pi_0: \mathbb{N}^\mathbb{N}$ is continuous.

Take $b \in \mathbb{N}^\mathbb{N}$, $0 \mapsto \text{pot}_{\pi_0}(b)$ whose closure is $\subseteq U$,
 and $n \in \mathbb{N}$ s.t $\text{pot}_{\pi_0}(N_{b, n}) \subseteq U$, and set

$\pi_1 : S \rightarrow b\Gamma_n \cap S'$.

Use our two main theorems to stabilize the behaviour of $f \circ \overline{\pi_0} \circ \overline{\pi_1}$ restricted to \mathbb{N}^n and its complement through π_2 and π_3 respectively. $\overline{\pi_0 \circ \pi_1 \circ \pi_2 \circ \pi_3}$ is the desired embedding. \square